hooker & holcombe

2019 year in review

"Growth and innovation have been part of the Hooker & Holcombe tradition since we opened our doors in 1956. This past year was no exception."

Our vision has always been to provide meaningful and actionable advice that helps our clients view their retirement plans differently. As one of the largest privately-held actuarial, retirement and investment advisory firms in the Northeast, we remain even more focused on this vision as we enter 2020.

During the past year, our dedicated employees worked hard to deliver value to our clients and their employees. Our commitment to providing leading-edge technology also continued through enhancements made to our pension administration program, the launch of the newly-designed Schwab participant website, and through open-architecture fund platforms and modernized investment tools built around our clients' changing needs.

But as many of us know, success does not come without change. After more than 40 years on LaSalle Road in West Hartford, we moved to a larger, contemporary complex in Bloomfield. This move not only gives our employees more room to roam, it provides us the ability to utilize exceptional conference space and expanded parking facilities that are perfect for retirement wellness learning sessions. Watch for our expanded education offerings in 2020.

We also bid a fond farewell to a long-time owner of the firm, Ronald Schlee, as he retires. Ron was with the firm for nearly 38 years, and we will miss his leadership and friendship. At the same time, we added a new face to our actuarial consulting group through the addition of Marc Condon. Marc leads our pension administration team as they continue to enhance our online pension administration capabilities.

Although our location and some of our faces have changed, our transparent business practices and fiduciary responsibility will continue to be at the forefront of our business philosophy. As we continue to evolve, our focus will remain constant: identifying, achieving and exceeding our clients' retirement plan goals without losing sight of quality, transparency, openness and integrity.

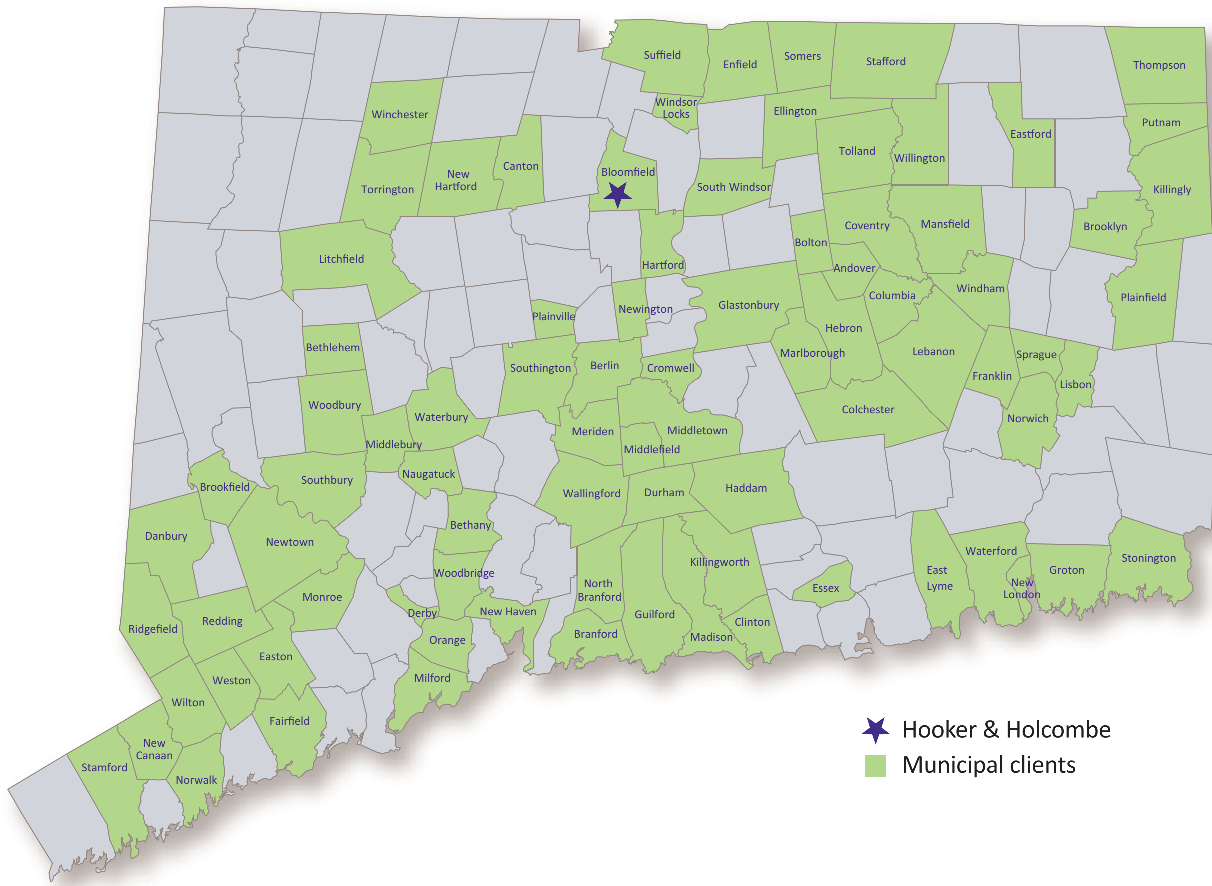
Thank you for your patronage. Please join me in celebrating a new decade of prosperity as we enter 2020.

Sincerely,

Richard S. Sych
President
Hooker & Holcombe

Connecticut municipal leadership

More than half of the state's cities and towns work with Hooker & Holcombe in some capacity. Visit **hhconsultants.com/success** to learn more about our innovative solutions.



3 lines of business offering bundled and unbundled solutions

As
ACTUARIAL SERVICES

Ia
INVESTMENT ADVISORY

Rs
RETIREMENT SERVICES

73
experienced professionals

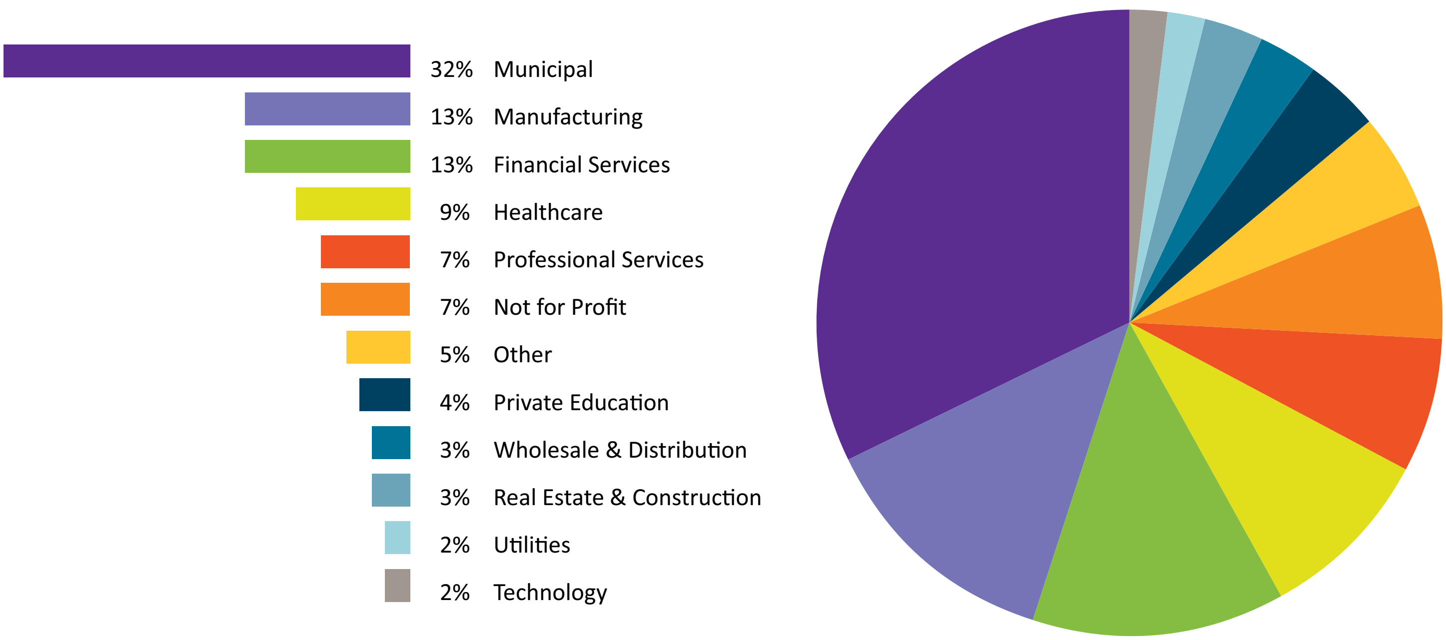
11
Enrolled Actuaries

64 years
helping plan sponsors achieve goals

Credentials held by the team
AIF®, ASA, APA, CAIA, CFA, CFP®, CPC, CRC, ERPA, FCA, FSA, MAAA, QKA, QPA

Clients by industry

Since 1956, H&H has worked with hundreds of companies from many industries, ranging from early-stage startups to larger Fortune 500 corporations. Our vast experience means we understand the unique needs faced by companies in your industry, including regulatory and legislative requirements.



Defined benefit & defined contribution consulting and administration services, supported by independent investment advisory services

$3.4 billion
assets under advisement

4 CFA
Charterholders

planadviser's 2019
TOP100
RETIREMENT PLAN ADVISERS

Named to PLANADVISER's list of Top 100 Retirement Plan Advisors since 2016

Giving back

We're proud of our employees who volunteered in the communities where they live and work. In 2019, we supported over 30 not-for-profit groups through volunteering and fundraising initiatives.

Our consultants and education specialist also shared their expertise with colleagues through association speaking engagements and client forums.

Since 1956, Hooker & Holcombe has delivered the solutions needed to identify, achieve and exceed our clients' retirement plan goals.

Actuarial Services

- Actuarial valuations
- Benefit calculations
- Plan terminations
- Plan design & optimization
- Funding strategies
- Asset liability modeling
- De-risking strategies
- Experience studies
- Union negotiation planning
- Arbitration testimony
- Online pension administration

Service Center

- Participant support center
- Forms audit & processing
- Benefit payment services

Investment Advisory

- Investment oversight
- Expense & performance audits
- Investment policy guidelines & objectives
- Asset allocation strategies
- Asset liability modeling
- Investment manager searches
- Fund evaluation & selection
- Performance monitoring & reporting
- Assistance with RFPs
- Executive & fiduciary workshops
- Financial wellness education

Retirement Services

TPA & consulting

- Plan document
- Plan demographic analysis
- Plan design consultation & preparation
- Compliance testing
- Form 5500 preparation & filing
- Contribution calculations
- Participant education & communication
- Fee benchmarking

Recordkeeping

- Plan administration
- Data collection
- Benefit statements
- Management reports
- Daily or periodic valuations
- Loan, transfer, rollover & withdrawal determinations
- Retirement or termination payout calculations & vesting
- Processing investment trades

The "PLANADVISER Top 100 Retirement Plan Advisers" list is compiled from responses to the PLANADVISER Retirement Plan Adviser Survey. The list is drawn solely from a set of quantitative variables & information in the survey supplied by the advisers themselves. For an adviser to be eligible for recognition in the Top 100 for any calendar year, their firm had to submit a completed entry in the prior year to the Retirement Plan Adviser Survey. A sub-segment of the questions was used to determine eligibility for the Top 100. H&H qualified under the small team category which is an advisor with 10 or fewer team members. Go to planadviser.com/awards/Top-100 for more information. No fee is charged to participate.

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